

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 9386/2P215-W	FOR FURTHER ACTION	see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.
International application No. PCT/US00/02043	International filing date (day/month/year) 21 JANUARY 2000	(Earliest) Priority Date (day/month/year) 21 JANUARY 1999
Applicant UNIVERSAL MUSIC GROUP		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☐ Unity of invention is lacking (See Box II).

4. With regard to the title,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the abstract,

☐ the text is approved as submitted by the applicant.

☒ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No. 2

☐ as suggested by the applicant.

☒ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☐ None of the figures.

Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)

The technical features mentioned in the abstract do not include a reference sign between parentheses (PCT Rule 8.1(d)).

NEW ABSTRACT

A method for transmitting or distributing media information using Handle data structures (100) in e-mails or chat sessions. When a user sends a Handle (100) by e-mail (218) or active chat session to another user, the recipient is able to access the same media the sender accessed over the network. The Handle (100) can be used to govern and limit the use of the media by the recipient, for example, subject to payment. Further the present invention can synchronize the rendition of the media at multiple locations for multiple users such that each user experiences the media at the same time regardless of the location.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/02043

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06F 13/00

US CL : 709/201, 218, 219; 704/201; 370/259

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 709/200, 201, 202, 203, 217, 218, 219; 704/200, 201, 270, 272; 370/259, 270, 546

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US 6,012,086 A (LOWELL) 04 January 2000, col. 1, line 59 - col. 2, line 20.	1-5, 9-11, 13-14, 1 & 7-20
X,P	US 6,011,761 A (INOUE) 01 January 2000, col. 2, lines 5-52.	1-5, 9-11, 13-14, & 17-20.
A	US 5,793,980 A (GLASER et al) 11 August 1998, see abstract.	1-20.

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

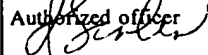
24 APRIL 2000

Date of mailing of the international search report

10 MAY 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

RICKY QUOC NGO

Telephone No. (703) 305-4798

HOME COPY

PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty

for receiving Office use only

International Application No.

International Filing Date

PCT/US 00/02043

(21.01.00)

21 JAN 2000

PCT INTERNATIONAL APPLICATION RO/US

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference (if desired) (12 characters maximum)

9386/2F215-WØ

Box No. I

TITLE OF INVENTION

METHOD AND SYSTEM FOR TRANSMITTING MEDIA INFORMATION THROUGH A NETWORK

Box No. II

APPLICANT

Name and address:

(Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.)

UNIVERSAL MUSIC GROUP
70 Universal City Plaza
Universal City, California 91608
United States of America

☐ This person is also inventor

Telephone No.

Facsimile No.

Teleprinter No.

State (i.e., country) of nationality:

US

State (i.e., country) of residence:

US

This person is applicant for the purposes of:

☐ all designated States

☒ all designated States except the United States of America

☐ the United States of America

☐ the States indicated in the Supplemental Box

Box No. III

FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS

Name and Address:

(Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.)

GALUTEN, Albhy
525 West Rustic Road
Santa Monica, California 90402
United States of America

This person is:

☐ applicant only

☒ applicant and inventor

☐ inventor only (if this check-box is marked, do not fill in below.)

State (i.e., country) of nationality:

US

State (i.e., country) of residence:

US

This person is applicant for the purposes of:

☐ all designated States

☐ all designated States except the United States of America

☒ the United States of America

☐ the States indicated in the Supplemental Box

☐ Further applicants and/or (further) inventors are indicated on a continuation sheet.

Box No. IV

AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

☒ agent

☐ common representative

Name and address:

(Family name followed by given name: for a legal entity, full official designation. The address must include postal code and name of country.)

YANNEY, Pierre R.
DARBY & DARBY P.C.
805 Third Avenue
New York, New York 10022-7513
United States of America

Telephone No. (212) 527-7700

Facsimile No. (212) 753-6237

Teleprinter No.

☐ Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Box No. V

DESIGNATION OF STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

Regional Patent

- [X] AP ARIPO Patent: GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- [X] EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention of the PCT
- [X] EP European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- [X] OA OAPI PATENT: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- | | |
|--|--|
| [X] AL Albania | [X] MK The former Yugoslav Republic of Macedonia |
| [X] AM Armenia | [X] MN Mongolia |
| [X] AT Austria | [X] MW Malawi |
| [X] AU Australia | [X] MX Mexico |
| [X] AZ Azerbaijan | [X] NO Norway |
| [X] BA Bosnia and Herzegovina | [X] NZ New Zealand |
| [X] BB Barbados | [X] PL Poland |
| [X] BG Bulgaria | [X] PT Portugal |
| [X] BR Brazil | [X] RO Romania |
| [X] BY Belarus | [X] RU Russian Federation |
| [X] CA Canada | [X] SD Sudan |
| [X] CH and LI Switzerland and Liechtenstein | [X] SE Sweden |
| [X] CN China | [X] SG Singapore |
| [X] CU Cuba | [X] SI Slovenia |
| [X] CZ Czech Republic | [X] SK Slovakia |
| [X] DE Germany | [X] SL Sierra Leone |
| [X] DK Denmark | [X] TJ Tajikistan |
| [X] EE Estonia | [X] TM Turkmenistan |
| [X] ES Spain | [X] TR Turkey |
| [X] FI Finland | [X] TT Trinidad and Tobago |
| [X] GB United Kingdom | [X] UA Ukraine |
| [X] GE Georgia | [X] UG Uganda |
| [X] HU Hungary | [X] US United States of America |
| [X] IS Iceland | [X] UZ Uzbekistan |
| [X] IL Israel | [X] VN Viet Nam |
| [X] JP Japan | [X] YU Yugoslavia |
| [X] KE Kenya | [X] ZW Zimbabwe |
| [X] KG Kyrgyzstan | |
| [X] KP Democratic People's Republic of Korea | |
| [X] KR Republic of Korea | |
| [X] KZ Kazakhstan | |
| [X] LC Saint Lucia | |
| [X] LK Sri Lanka | |
| [X] LR Liberia | |
| [X] LS Lesotho | |
| [X] LT Lithuania | |
| [X] LU Luxembourg | |
| [X] LV Latvia | |
| [X] MD Republic of Moldova | |
| [X] MG Madagascar | |

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

- [X] ID Indonesia
- [X] HR Croatia
- [X] GD Grenada
- [X] IN India
- [X] ZA South Africa
- [X] AE United Arab Emirates
- [X] CR Costa Rica
- [X] DM Dominica
- [X] TZ United Republic of Tanzania

In addition to the designation made above, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except the designation(s) of _____. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit).

Supplemental Box*If the Supplemental Box is not used, this sheet need not be included in the request.**Use this box in the following cases:*

1. If, in any of the Boxes, the space is insufficient to furnish all the information:

- (i) if more than three persons are involved as applicants and/or inventors and no "continuation sheet" is available;
- (ii) if, in Box No. II or in any of the sub-boxes of Box N. III, the indication "the States indicated in the Supplemental Box" is checked;
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purpose of the United States of America;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents;
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," "certificate of addition," or "inventor's certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "Continuation" or "continuation-in-part";
- (vi) if there are more than three earlier applications whose priority is claimed;

in such case, write "Continuation of Box No. ..." (indicate the number of the Box) and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient;

in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III;

in such case, write "Continuation of Box N. II" or "continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State or States (and/or, where applicable, European or OAPI patent) for the purposes of which the named person is applicant;

in such case, write "Continuation of Box N. II" or "continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) involved and, next to (each) such name, the State or States (and/or, where applicable, European or OAPI patent) for the purposes of which the named person is inventor;

in such case, write "Continuation of Box No. III" and indicate for each further agent the same type of information as required in Box No. IV;

in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;

in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.

2. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty;

in such case, write "Statement Concerning Non-Prejudicial Disclosures or Exceptions to Lack of Novelty" and furnish that statement below.

Continuation of Box No. IV
GARNER, Melvin C.
LEASON, David
REED, Derrick W.

PCT
FEE CALCULATION SHEET
Annex to the Request

For receiving Office use only
PCT/US 00/02043
International application No.

Applicant's or agent's
file reference **9386/2F215-WO**

21 JAN 2000
Date stamp of the receiving Office

Applicant **UNIVERSAL MUSIC GROUP et al.**

CALCULATION OF PRESCRIBED FEES

1. TRANSMITTAL FEE	240.00	T	\$ 240.00
2. SEARCH FEE	700.00	S	700.00

International search to be carried out by ISA/US
(If two or more International Searching Authorities are competent in relation to the international application, indicate the name of the Authority which is chosen to carry out the international search.)

3. INTERNATIONAL FEE

Basic Fee

The international application contains 27 sheets.

first 30 sheets 427.00 b₁

0 X 10.00 = 0 b₂

remaining sheets additional amount

Add amounts entered at b₁ and b₂
and enter total at B 427.00 B

Designation Fee

82 X 92.00 = 736.00 D

number of designations fees payable (maximum 8) amount of designation fee

(Applicants from certain States are entitled to a reduction of 75% of the international fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the sum of the amounts entered at B and D.)

Add amounts entered at B and D and enter total at I 1,163.00 I

4. FEE FOR PRIORITY DOCUMENT 15.00 P

5. TOTAL FEES PAYABLE

Add amounts entered at T, S, I and P,
and enter total in the TOTAL box 2,118.00 TOTAL

☐ The designation fee is not paid at this time.

MODE OF PAYMENT

<input type="checkbox"/> authorization to charge deposit account (see below)	<input type="checkbox"/> bank draft	<input type="checkbox"/> coupons
<input checked="" type="checkbox"/> cheque	<input type="checkbox"/> cash	<input type="checkbox"/> other (specify):
<input type="checkbox"/> postal money order	<input type="checkbox"/> revenue stamps	

DEPOSIT ACCOUNT AUTHORIZATION

The RO/ US ☐ is hereby authorized to charge the total fees indicated above to my deposit account.

☒ is hereby authorized to charge any deficiency or credit any overpayment in the total fees indicated above to my deposit account.

☐ is hereby authorized to charge the fee for preparation and transmittal of the priority document to the International Bureau of WIPO to my deposit account.

04-0100 January 21, 2000

Deposit Account Number Date (day/month/year)

Signature Pierre R. Yanne

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 9386/2F215-W	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/US00/02043	International filing date (<i>day/month/year</i>) 21 JANUARY 2000	(Earliest) Priority Date (<i>day/month/year</i>) 21 JANUARY 1999
Applicant UNIVERSAL MUSIC GROUP		

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This international search report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

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☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

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☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

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3. ☐ Unity of invention is lacking (See Box II).

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☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the abstract,

☐ the text is approved as submitted by the applicant.

☒ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No. 2

☐ as suggested by the applicant.

☒ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☐ None of the figures.

Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)

The technical features mentioned in the abstract do not include a reference sign between parentheses (PCT Rule 8.1(d)).

NEW ABSTRACT

A method for transmitting or distributing media information using Handle data structures (100) in e-mails or chat sessions. When a user sends a Handle (100) by e-mail (218) or active chat session to another user, the recipient is able to access the same media the sender accessed over the network. The Handle (100) can be used to govern and limit the use of the media by the recipient, for example, subject to payment. Further the present invention can synchronize the rendition of the media at multiple locations for multiple users such that each user experiences the media at the same time regardless of the location.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/02043**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) :G06F 13/00

US CL : 709/201, 218, 219; 704/201; 370/259

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 709/200, 201, 202, 203, 217, 218, 219; 704/200, 201, 270, 272; 370/259, 270, 546

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US 6,012,086 A (LOWELL) 04 January 2000, col. 1, line 59 - col. 2, line 20.	1-5, 9-11, 13-14, 1 & 7-20
X,P	US 6,011,761 A (INOUE) 01 January 2000, col. 2, lines 5-52.	1-5, 9-11, 13-14, & 17-20.
A	US 5,793,980 A (GLASER et al) 11 August 1998, see abstract.	1-20.

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*&* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

24 APRIL 2000

Date of mailing of the international search report

10 MAY 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer
Ricky Quoc Ngo
RICKY QUOC NGO

Telephone No. (703) 305-4798

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

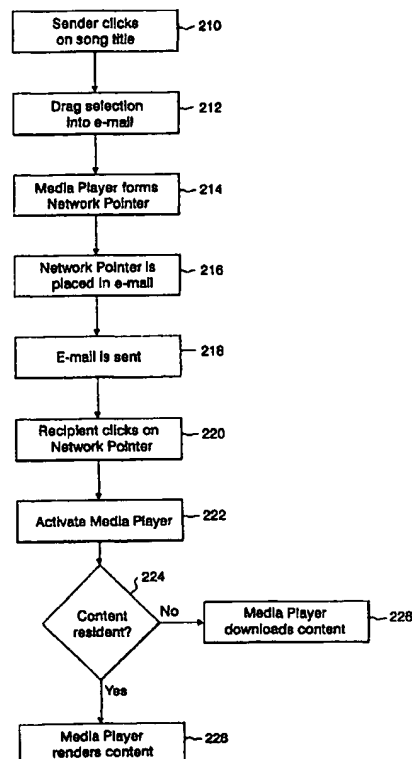
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : G06F 13/00		A1	(11) International Publication Number: WO 00/43892
			(43) International Publication Date: 27 July 2000 (27.07.00)
(21) International Application Number: PCT/US00/02043		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 21 January 2000 (21.01.00)			
(30) Priority Data: 60/116,555 21 January 1999 (21.01.99) US			
(71) Applicant (for all designated States except US): UNIVERSAL MUSIC GROUP [US/US]; 70 Universal City Plaza, Universal City, CA 91608 (US).			
(72) Inventor; and (75) Inventor/Applicant (for US only): GALUTEN, Albhy [US/US]; 525 West Rustic Road, Santa Monica, CA 90402 (US).			
(74) Agents: YANNEY, Pierre, R. et al.; Darby & Darby P.C., 805 Third Avenue, New York, NY 10022-7513 (US).			
		Published With international search report.	

(54) Title: METHOD AND SYSTEM FOR TRANSMITTING MEDIA INFORMATION THROUGH A NETWORK

(57) Abstract

A method for transmitting or distributing media information using Handle data structures (100) in e-mails or chat sessions. When a user sends a Handle (100) by e-mail (218) or active chat session to another user, the recipient is able to access the same media the sender accessed over the network. The Handle (100) can be used to govern and limit the use of the media by the recipient, for example, subject to payment. Furthermore the present invention can synchronize the rendition of the media at multiple locations for multiple users such that each user experiences the media at the same time regardless of the location.



PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents
United States Patent and Trademark
Office
Box PCT
Washington, D.C.20231
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year)

11 October 2000 (11.10.00)

International application No.

PCT/US00/02043

Applicant's or agent's file reference

9386/2F215-W

International filing date (day/month/year)

21 January 2000 (21.01.00)

Priority date (day/month/year)

21 January 1999 (21.01.99)

Applicant

GALUTEN, Albhy

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

16 August 2000 (16.08.00)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer



Mougamadou ABIDINE

Telephone No.: (41-22) 338.83.38

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DEC 29 2000
Technology Center 2100

Box No. VI PRIORITY CLAIM		Further priority claims are indicated in the Supplemental Box <input type="checkbox"/>	
The priority of the following earlier application(s) is hereby claimed:			
Country <small>(in which, or for which the application was filed)</small>	Filing Date <small>(day/month/year)</small>	Application No.	Office of filing <small>(only for regional or international applications)</small>
US	21 January 1999 (21.01.99)	60/116,555	
Mark the following check-box if the certified copy of the earlier application is to be issued by the Office which for the purposes of the present international application is the receiving Office (a fee may be required). <input checked="" type="checkbox"/> The receiving Office is hereby requested to transmit to the International Bureau a certified copy of the earlier application(s) identified above at item(s): <u>(1)</u>			
Box No. VII EARLIER SEARCH			
Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the authority chosen; the two-letter code may be used: ISA/US _____)			
Earlier Search Fee where a search (international, international-type or other) by the International Searching Authority has already been carried out or requested and the Authority is now requested to base the international search, to the extent possible, on the results of that earlier search. Identify such search or request either by reference to the relevant application (or the translation thereof) or by reference to the search request:			
Country (or regional Office):	Date (day/month/year):	Number:	
Box VIII CHECK LIST			
This international application contains the following number of sheets: 1. request : 4 sheets 2. description : 12 sheets 3. claims : 7 sheets 4. abstract : 1 sheet 5. drawings : 3 sheets <div style="text-align: right;">Total <u>27</u> sheets</div>	1. <input type="checkbox"/> separate signed power of attorney 2. <input type="checkbox"/> copy of general power of attorney 3. <input type="checkbox"/> statement explaining lack of signature 4. <input checked="" type="checkbox"/> priority document(s) (specify): <u>request for preparation</u>	5. <input checked="" type="checkbox"/> fee calculation sheet 6. <input type="checkbox"/> separate indications concerning deposited microorganisms 7. <input type="checkbox"/> nucleotide and/or amino acid sequence listing 8. <input checked="" type="checkbox"/> other (specify): <u>post-card acknowledging receipt</u>	
Figure No. <u> </u> of the drawings (if any) should accompany the abstract when it is published.			
Box No. IX SIGNATURE OF APPLICANT OR AGENT			
Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).			
UNIVERSAL MUSIC GROUP  by: <u>Abby Galuten</u> Name: <u>Abby Galuten</u> Title: <u>Sr. V.P. Advanced Technology</u>			
 (Abby Galuten)			
1. Date of actual receipt of the purported international application: <div style="text-align: center; font-size: 1.2em;">21 JAN 2000 (21.01.00)</div>		2. Drawings: <input type="checkbox"/> received <input type="checkbox"/> not received	
3. corrected date of actual receipt due to later but timely received papers or drawings complicating the purported international application:			
4. Date of timely receipt of the required corrections under PCT Article 11(2):			
5. International Searching Authority specified by the applicant: <u>ISA/US</u>		6. <input type="checkbox"/> Transmittal of search copy delayed until search fee paid	
For International Bureau use only			
Date of receipt of the record copy by the International Bureau:			